**Using Excel in courses at NTNU**

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# Comma, semicolon and VBA strings

MS Excel treats numbers and lists according to definitions given in "Region and Language". Below we discuss the following

1. Decimal symbol
2. List separator
3. Syntax for VBA-strings

The discussion below relates to MS Excel. There might be different approaches in Excel for the Mac.

## Decimal symbol

In many languages the comma (,) is the symbol used to separate the decimal part of a number from the integer. For example we write π ≈ 3,14. In the English language a period (.) is used, and one writes π ≈ 3.14. I this course a period is used as the decimal symbol in slides, course compendium and in MS Excel demonstrations. It is recommended to edit the “Regional settings” on your computer so that the period is used as the decimal symbol. This is explained later down.

## List separator

Many functions in MS Excel require two or more arguments. For example to find the larges value fo two A1 and A2 we may use the Max() function, by e.g., typing in cell A3: =Max(A1,A2). Note that the English name of the maximum function is used. If your MS Excel is set up with a national language, the Max() function has to be replaced by a national language variant, e.g., Størst() in Norwegian. The separator between the two arguments is here the comma (,), i.e., the list separator corresponding to a standard English configuration in the Regional settings. In Norwegian and many other European countries the standard list separator is the semi colon (;). It is recommended to edit the “Regional settings” on your computer so that the comma is used as the list separator. This is explained later down.

## Syntax for VBA-strings

In the routine for numerical integration in pRisk.xlsm (supported in some NTNU courses), the integrand is processed by visual (VBA). The integrand is enclosed in (") in pRisk.xls, and this text string has to be stated in English syntax, i.e., if numbers are specified they have to have the period as the decimal symbol, and when arguments are to be separated, the comma has to be used as list separator. Not that this applies independent on your Regional setting.

## Editing the Region and Language

To change the standard configuration of your PC, choose the **Control panel** from the Start button on the lower left corner of your screen. Then choose **Region and Language**. At the bottom of this menu **Additional settings....** From this menu you may edit the symbols used for the decimal symbol and the list.

# Introduction problems

**Problem 1**

We are considering the maintenance of an emergency shutdown valve (ESDV). The ESDV has a hidden function, and it is considered appropriate to perform a functional test of the valve at regular intervals of length *τ*. The cost of performing such a test is NOK 10 000. If the ESDV is demanded in a critical situation, the total (accident) cost is NOK 10 000 000. The rate of demands for the ESDV is one every 5 year. The failure rate of the ESDV is 2×10-6 (hrs-1). Determine the optimum value of *τ* by:

* Finding an analytical solution
* Plotting the total cost as a function of *τ*
* Minimising the cost function by means of numerical methods

**Problem 2**

In order to reduce testing it is proposed to install a redundant ESDV. The extra yearly cost of such an ESDV is NOK 15 000. Determine the optimum test interval if we assume that the second ESDV has the same failure rate, but that there is a common cause failure situation, with *β* = 0.1. Will you recommend the installation of this redundant ESDV?

# Basic worksheet operations

## Using “variable names” in the excel sheet

When using Excel from the worksheet windows, cells could be referred to by the row/column name of the cell, e.g. A1 is the name of the upper left cell. The cell name is shown in the Name Box pointed to by the arrow in Figure 1.



Figure Name Box

If we want to refer to the value within this cell in an expression, we could just refer to the cell by its name. For example, if we in cell B1 want to calculate the square of the value in A1, we just type **=A1^2** in cell B1.

When a lot of variables are defined in a worksheet, it will not be easy to read the formulas used if we are always referring to variables by more or less arbitrary names. Hence, we would like to give the variables more meaningful names. For example, if the cell A1 represents temperature, we would rather refer to e.g. Temp, rather than A1. In order to accomplish this, we just type **Temp** in the Name Box input filed. Now, the expression we would like to type in cell B1 would be more easy to read, .e.g. we type **=Temp^2**.

Note, that if you want to use a variable like *x*2, it would be natural to write **X2** in the cell window. However, since X2 is a cell name already defined by Excel, this will not work (the result would be that the active cell changes to X2). In order to prevent confusion with the predefined cell names in Excel, we rather specify **X\_2**.

Further note that we could specify more than one name for each cell. This will however be difficult to trace, and should be avoided. If we by accident give one cell a wrong name, we should first delete the cell name, before we give a new cell name. To delete the cell name, choose Name Manager from the Formulas tab. Then search for the cell name to delete.

If we have given a cell on one worksheet a name, we could refer to this cell from an arbitrary other work sheet just by specifying the cell name we have given. If we have not given a cell a name, and want to refer to it from another worksheet we have to prefix the cell name with the worksheet name, e.g. **=Sheet1!A1**.

Often we label the variables to use in one column, and then insert their values in the column to the right. To easy give the corresponding cells the names given in the label cells then:

1. Give variable names in a column of cells.
2. Mark these cells and the column to the right.
3. On the **Formulas** tab, in the **Defined names** group, click **Create from Selection** .
4. Tick Left column, and press OK in Figure 2.



Figure Create cell names from selection

Ensure that the labels for the variables are legal cell names, i.e., do not contain blanks or special symbols. If predefine cell names like A1 is used, Excel will add a “\_” to the variable name, e.g., A1\_.

## Cell operations

To sum a range of cells and put the result in another cell, move the cell selector to the cell you want to store the result in, and type **=Sum(**, then drag the cell selector over the cells you want to sum, release the mouse button, and click Enter. Excel automatically completes the formula, e.g. the resulting formula will be e.g. **=SUM(A1:A5)**. Note that national versions of Excel require national function names when used from the worksheets. Other functions that often are used to manipulate cells would be **=AVERAGE(A1:A5)**, **=STDEV(A1:A5)**, **=MIN(A1:A5)** **an**d **=MAX(A1:A5)**. For more advanced functions, we refer to the Excel Help function.

## Plotting the results

Excel provides a wide range of possibilities for visualising the data stored in the worksheets. Very often we have data where one column represent x-values, and subsequent columns represent y-values. To draw graphs representing the various y-values:

1. Mark the corresponding cells (were the x-values are stored in the first column and the y-values are stored in the second column)
2. On the **Insert** tab, in the **Chart** group, click **Scatter** 
3. Select an appropriate chart sub-type.

Example

Consider Problem 1. The cost per time unit would be

C(tau) = PMCost/tau + tau\*lambda\*dRate\*HCost

where we have specified the parameters like:

|  |  |
| --- | --- |
| Parameter | Value |
| PMCost | 10000 |
| Hcost | 1E+07 |
| lambda | 0.01752 |
| dRate | 0.2 |

Figure Parameters for Problem 1

Where the legend for each variable in Figure 3 corresponds to the cell name we have specified. To plot the cost function, we specify the tau values in one column, then the PM cost, the Accident (Risk) cost and the total cost in subsequent columns, e.g.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 12 | Tau | PM | Risk | Tot |
| 13 | 0.4 | 25 000 | 7 008 | 32 008 |
| 14 | 0.5 | 20 000 | 8 760 | 28 760 |
| 15 | 0.6 | 16 667 | 10 512 | 27 179 |

Figure Section of data to plot

In cell A13 we specify **0.4**, then in cell A14 we write **=A13+0.1**. We may now copy the formula in cell A14 by first selecting cell A14, pressing <Ctrl>C, then selecting the cell A14 to A34, and pressing <Ctrl>V. In cell B13 we specify **=PMCost/A13**. In column C13 we specify **=lambda\*A13/2\*Hcost\*dRate**. The total cost are now entered in cell D13 by **=B13+C13**. Cells B13-D13 are then copied to subsequent rows. To find the minimum cost graphically, we create the plot in Figure 5.



Figure Plot of data in problem 1

## Using the Solver (Problemløser) Add-In to minimize the value of a cell

In problem 2 we might calculate the total cost for a given inspection interval tau by a step of calculations, see Figure 6.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | Value | Formula |  |  |  |  |  |  |
| PMCost | 10 000 |  |  |  |  |  |  |  |
| Hcost | 10 000 000 |  |  |  |  |  |  |  |
| lambda | 0.01752 |  |  |  |  |  |  |  |
| dRate | 0.2 |  |  |  |  |  |  |  |
| beta | 0.1 |  |  |  |  |  |  |  |
| n | 2 |  |  |  |  |  |  |  |
| k | 1 |  |  |  |  |  |  |  |
| tau | 0.7 |  |  |  |  |  |  |  |
| PDFC | 0.0006132 | =beta\*lambda\*tau/2 |  |  |  |  |  |
| PDFI | 4.061E-05 | =COMBIN(n,n-k+1)\*((1-beta)\*lambda\*tau)^(n-k+1)/(n-k+2) |
| PFD | 0.0006538 | =PDFC+PDFI |  |  |  |  |  |
| PM | 14285.714 | =PMCost/tau |  |  |  |  |  |
| Accident | 1307.6191 | =PDF\*dRate\*HCost |  |  |  |  |  |
| TotCost | 15593.333 | =PM+Accident |  |  |  |  |  |

Figure Calculation of total cost in Problem 2

We now use the solver to minimize the total cost:

1. On the **Data** tab, in the **Analysis** group, click **Solver[[1]](#footnote-1)** .
2. In the **Set Objective** box, enter a cell reference or name for the objective cell. The objective cell must contain a formula. In the example specify **TotCost.**
3. Do one of the following:
	1. If we want the value of the objective cell to be as large as possible, click **Max**.
	2. If we want the value of the objective cell to be as small as possible, click **Min**. Since we will like to minimize cost, click **Min**.
	3. If we want the objective cell to be a certain value, click **Value of**, and then type the value in the box.
4. In the **By Changing Variable Cells** box, enter a name or reference for each decision variable cell range. Separate the nonadjacent references with commas. The variable cells must be related directly or indirectly to the objective cell. In the example, specify **tau**.
5. In the **Subject to the Constraints** box, enter any constraints that you want to apply. See Excel Help for more instructions.

## What if analysis

Another way to find this minimum would be to use so-called What-If analysis.

1. Create the tau-values in one column. This is accomplished similar to what was done in Section 3.3
2. Lave the column to the right for Excel to fill in
3. In the cell just above the upper destination cell for the total cost values, specify **=TotCost**.
4. Mark two columns, the first column represent the tau values, and the second column represent the destination cells for the total cost. When marking these cells, also include the row *above* the data cells, i.e. the row containing the **=TotCost** cell
5. On the **Data** tab, in the **Data tools** group, click **What-If-Analysis** .
6. Select **Data Table.**
7. In the Column input cell, specify **tau**, see Figure 7.



Figure Specification of Column input cell

Excel will now recalculate the total cost by changing the value of the **tau** cell according to the list of tau values stored in the first column of the selected area. Then Excel store the result in the second column of the selection.

# Creating and using VBA functions

## Introduction

VBA is the programming language offered by Microsoft Office programs (Word, Excel etc). The basic principles and syntax is similar for all VBA’s independent of which program they are used in. However, the way we access data are quite different. In e.g. Excel data from the worksheets are specified with the Range() function, whereas in Access stronger database functions are available. Note also that Excel provides a very nice set of worksheet functions that also are available from the VBA code. These functions are generally not available from other Office programs, meaning that using these functions cause problems if you want to copy the code to e.g. Access.

Note that Excel files containing VBA code need to be **Saved As** an Excel Macro-enabled Workbook (\*.xlsm).

To invoke the VBA editor, just press <Alt>F11. In the VBA editor, choose Insert and Module to create a module for storing the function.

The different VBA functions and procedures are stored in so-called modules. The modules are default given name by Excel, i.e. the first one starts with Module 1, the second Module 2 and so on. It is however, possible to give the modules new names that are more informative, e.g. NumIntLib for a library of procedures for numerical integration. It is a good idea to collect procedures and functions that relates to each other in one module. A module comprises two main parts:

* Common declarations
* The functions and procedures

In the declaration part you typically define variables that are common to all functions in one module, or that should be common to all modules. In the declaration part we specify either variables that should be available only from the actual module. These variables are specified by the Private statement, e.g.

**Private xValue As Single**

Note that this statement should be given in the top of the module before any declaration of functions or procedures. Later on, in a function or a procedure you may use the variable xValue. Note that the xValue will be available in all procedures and functions in the module where it is defined. This means that you might give a value to xValue in one function, and then use this value in another function.

Sometimes you want variables to be available from all functions in all modules. You then use the Public statement, e.g.

**Public TimeUnit As String**

You might in one module, e.g. the InitModule write a function that set the TimeUnit, e.g.

**TimeUnit = ”Hours”**

and you then access the value from another module, e.g.

**MsgBox ”The time unit is ” & TimeUnit**

Most variables you need should however, only be defined within one function. E.g. if you need a counter, you define it within one function, e.g.

Function Sum1To10()

Dim x as integer

Dim s as single

X=0

For x = 1 To 10

 s = s + x

Next x

Sum1To10 = s

End Function

Figure Using the Dim statement

In Figure 8 we have used the Dim statement to define the variables x and s. Note that x and s would not be available form other functions. But, you may define x in another function and use x in the same way in that other function. Note all Dim statements should be specified before any executable code.

Sometimes you want do define constants rather than variables. For example you might want to specify a constant for gravity, and you write:

**Const gravity As Single = 9.81**

A constant statement could be specified either in the declarations part of the module (top of module), or the declaration part of the function (i.e. before any executable code)

Passing arguments to a function or a procedure is accomplished by the statements in the header of the function. When you define the function, you also define the variable types to be passed, whereas when you call the function you only pass the variables, or values, e.g.

Function MySum(x As Single, y As Single)

MySum = x + y

End Function

Figure Specification of arguments

You might then later from another function call MySum, e.g. **x = MySum(3, 4)**. Note that if you define an argument as e.g. Single, you cannot call the function with a variable of e.g. Integer type. See the reference manual for variable types to use within VBA.

Loops are programming constructions that you will need. The simples loops are accomplished either by the **For** statement, or the **Do** statement. In the following function two loop constructions are used to count the numbers from 1 to 10:

Function Sum1To10()

Dim x As Integer

Dim s As Single

s = 0

For x = 1 To 10

 s = s + x

Next x

Debug.Print s

s = 0

x = 0

Do While x < 10

 x = x + 1

 s = s + x

Loop

Debug.Print s

End Function

Figure Loop constructions

In the first construction we use the **For** statement to specify the start, end, and optional the increment of the counter variable x. Here we might have specified

**For x = 2 To 10 Step 2**

If we wanted to count only even numbers. The statements to be executed for each step are specified before the **Next** statement.

In the **Do While** construction we instruct the computer to repeat as long a logical expression is true. The statements to be executed for each step are specified before the **Loop** statement. You might jump out of the loop by an **Exit For**, or **Exit Do** statement within the loop construction.

Note that we want a function to return a value, this is done by assigning an expression to the function name at the end of the function, e.g.

**Sum1To10 = s**

If a function should not return a value, you could alternatively use a procedure construction, see the Excel reference for further information.

## Simple functions

In the previous example we calculated the probability of failure on demand (PFD) for the safety valve by a number of steps. A more elegant approach would be to crate a function accomplishing these calculations. In Figure 11 we have shown the VBA code for the PFDb function, where “b” indicate that the beta-factor model is assumed. VBA (Visual Basic for Applications) is the MS Office programming language.

**Function PFD(lambda As Single, tau As Single, beta As Single, \_**

**k As Integer, n As Integer)**

**' Beta factor model**

**' PFD = Probability of failure of demand**

**' PFD = Dependent part + Independent part**

**' Independent part = Combin(n,n-k+1)(lambda\*(1-beta)\*tau)^(n-k+1)/(n-k+2)**

**' lambda = total failure rate = lambda\_commoncause + lambda\_independent**

**'**

**PFD = lambda \* tau \* beta / 2 + \_**

**((1 - beta) \* lambda \* tau) ^ (n - k + 1) / (n - k + 2) \* \_**

**Application.WorksheetFunction.Combin(n, n - k + 1)**

**End Function**

Figure VBA code for the PFD function

To invoke the VBA editor, just press <Alt>F11. In the VBA editor, choose Insert and Module to create a module for storing the function.

From the Excel worksheet you might now call the function you have crated, e.g. in cell B17 you could specify **=PFD(lambda,tau,beta,k,n)**.

When you crate functions in VBA you might want to get data stored in cells without passing these values as arguments to the function. To accomplish this, use the Range() function in VBA: The Range() function is specified as e.g. **Range(“lambda”)**, where lambda is a cell name.

## Advanced VBA functions

Sometimes you might want to create more complicated functions, e.g. a function for numerical integration. Such a function would require the following elements:

* The name of the function to integrate
* Parameters used in this function
* Limits for the integration

The VBA language is not optimal for such programming because we could not pass a function name as an argument to a function as we could do in e.g. FORTRAN or C++. A work around approach would be to create a general purpose “Exec-function”, which takes two arguments, an integer representing the function name (or number), and the argument, e.g.

**Function execFunc(f As Integer, x As Single)**

**Select Case f**

**Case 1**

**execFunc = Sin(x)**

**Case 2**

**execFunc = Cos(x)**

**End Select**

**End Function**

Figure Simple Exec-function

If we in a program system need first to integrate the sin function, then the cos function we could write a general purpose numerical integration function, which we first call with argument **1**, and the **2.** To make the code more readable, we typically define constant like:

**Public Const eFuncSin = 1**, and so on. In the example above, we did not pass any parameters to the execFunc. In some situations we would like to pas an argument, for example we would like to have a more general cos function like **a\*Cos(b\*x+c)**. One way to accomplish this would be to store a, b and c in a variant variable. For example before calling the numInt function we specify **PassPar=ARRAY(1,2,3)** to pas the parameters a=1, b=2 and c=3. We could then call the NumInt function by **NumInt(eFuncCos,PassPar,0,3.141)**.

It would then be the task of the NumInt procedure to pass further the variant PassPar to the execFunc.

Another simpler way to pass arguments would be to create Public variables which cold be accessed from any module.

## Built in functions

In VBA you could call a set of standard build in functions like sin(), cos(), log(), exp() etc. These functions are available in all VBA settings (Word, Excel, Access etc). One strength of Excel is that a number of Worksheet functions are also available from the VBA code. For example the Normdist() function. However, to use these worksheet functions their name has to be preceded by **Application.WorksheetFunction.<FuncName>**. For example we might find the probability that a normally distributed variable is less than 2, when the mean and standard deviation is 0 and 1 respectively by:

**p = Application.WorksheetFunction.NormDist(2, 0, 1, True)**

Note that from VBA the worksheet functions always are specified by their English name, whereas from the worksheet you need to specify them by their national language (i.e. depending on you Excel installation).

## Importing and exporting modules

Modules with VBA code are specific for each Excel file (workbook). If you create a module in one Excel file it will not be available in another Excel file. There are two ways you may copy VBA code from one file to another. The easiest way is to use the Clipboard to copy code from one Excel file and paste into another Excel file. A more structured way is to establish libraries of modules. To Export a module from Excel do the following:

1. Open the VBA editor by pressing <Alt F11>
2. Right click the module you will export, see Figure 13
3. Click **Export File…**, and select a folder to keep VBA modules

VBA modules are saved with the extension **.bas** and may be opened with a text editor. From another Excel file the VBA code may be imported:

1. Open the VBA editor by pressing <Alt F11>
2. Right click **modules,** 
3. Click **Import File…**, and select a file from the folder keeping VBA modules



Figure Module library

# VBA Examples

## The effective failure rate in age related models

In age based maintenance models an approximation for the effective failure rate could be specified in Excel by:

**lambdaE = EXP(GAMMALN(1+1/alpha))/MTTF)^alpha\*tau^(alpha-1)**

where **tau**, **alpha** and **MTTF** are variables defined in cells with corresponding names. This function is often required in maintenance optimization problems, and it would be more convenient to specify a VBA function, and then call this function each time we need to find the effective failure rate:

**Function LambdaEWApproxSimple(Tau As Single, Alpha As Single, MTTF As Single)**

**If Alpha > 1 Then**

 **LambdaEWApproxSimple = Gamma(1# / Alpha + 1#) ^ Alpha \* \_**

 **Tau ^ (Alpha - 1#) / MTTF ^ Alpha**

**Else**

 **LambdaEWApproxSimple = 1 / MTTF**

**End If**

**End Function**

Figure First approximation of the effective failure rate

Note that this function makes a call to the Gamma-function which could be implemented by:

**Function Gamma(x As Single)**

**Gamma = Exp(Application.WorksheetFunction.GammaLn(x))**

**End Function**

Figure The Gamma() function

From the spread sheet in Excel, we may now call the **LambdaEWApproxSimple()** function with the arguments **tau**, **alpha** and **MTTF.**

This approximation function is not very accurate when tau is higher than 10% of the MTTF. A better approximation may be achieved by implementing a correction term.

**Function LambdaEW(Tau As Single, Alpha As Single, \_**

 **MTTF As Single)**

**Dim lambda As Single**

**If MTTF > 0 Then**

 **If Tau < 0.1 \* MTTF Then**

 **LambdaEW = LambdaEWApproxSimple(Tau, Alpha, MTTF)**

 **Else**

 **LambdaEW = LambdaEWApproxSimple(Tau, Alpha, MTTF) \* \_**

 **(1# - 0.1 \* Alpha \* (Tau / MTTF) ^ 2 + \_**

 **(0.09 \* Alpha - 0.2) \* (Tau / MTTF))**

 **End If**

**Else**

 **LambdaEW = 1E+30**

**End If**

**End Function**

Figure A better approximation to the effective failure rate

Where the correction term ensures reasonable precision whenever **tau** < ½ **MTTF**. An even better implementation would be to use an iterative procedure to find the renewal function whenever when **tau** > ½ **MTTF.**

# Monte Carlo Simulation

Monte Carlo simulation is a technique to do probability calculus when it is not straight forward to use analytical techniques. The basic idea is that rather than working with the distribution functions, or probability density function of random variables, we work with these variables directly in either the work sheet, or the VBA code. To assign a (random) value to a such variable we use the RAND() function from the worksheet, or the rnd() function from the VBA modules. These two functions return a uniformly distributed variable in the interval from 0 to 1. In the worksheet, we might then define a cell with name Duration, and specify **=RAND()** as the formula for that cell. Excel will then generate a random number. To generate a new number in the cell we ask Excel to do this by pressing the F9 key. If we want to see e.g. the average duration, we could press F9 1000 times and write down the output, and then take the average, of we could press F9 another 1000 times and count the number of times when the Duration cell exceeds 0.9 to find Pr(Duration > 0.9) and so on.

It is, however, rather tedious to do this manually. We could write a VBA code that update the field e.g. 1000 times, and for each time records the number, and then finally take the average of the values that have been generated.

Note that the RAND() function generates a uniformly distributed variable. If you want variables from other distributions you could write your own code.

# Feedback

Feedback to this document could be emailed to jorn.vatn@ntnu.no

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1. If the **Solver** command or the **Analysis** group is not available, you need to load the Solver Add-in (add-in: A supplemental program that adds custom commands or custom features to Microsoft Office.) program (In Norwegian Solver = Problemløser).

	1. Click the **File** tab, click **Options**, and then click the **Add-Ins** category.
	2. In the **Manage** box, click **Excel Add-ins**, and then click **Go**.
	3. In the **Add-ins available** box, select the **Solver Add-in** check box, and then click **OK**. [↑](#footnote-ref-1)